

### **Policy on Client Code Modifications**

1. ISPL doesn't allow client code modification of execution of trades.
2. As a matter of Principle normally the modification of Client Codes will be allowed only in cases of punching errors and client accounts belonging to close relatives. The other cases will be really very exceptional and fall in a rare category where the genuineness and logic both are established without any doubt whatsoever.
3. The authority for approval of client code modification shall lie with a Senior Manager which shall be further agreed upon by one of the two working Promoter Directors. Further, the facility for client code modification is to be made available only at the Head Office.
4. In case of genuine mistakes by employees / officials of Innovate Securities Pvt. Ltd., the trade transactions are transferred to the "Error Account" as follows –
  - a. UCC Code - 4901
  - b. Trading Code - 4901
  - c. Back Office Code - 4901
  - d. Name - Error Account
  - e. PAN – AAACI4767RThe accounting ledger of Error Account is maintained in the clients' ledger and the resultant balance, debit or credit, is periodically transferred to / from Client Designated Bank Account from / to Own Bank Account.